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Structure-Based Design of Hepatitis C Virus Vaccines that

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Elicit Neutralizing Antibody Responses to a Conserved Epitope

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24

25 **ABSTRACT**

26

27 Despite recent advances in therapeutic options, hepatitis C virus (HCV) remains a severe global
28 disease burden, and a vaccine can substantially reduce its incidence. Due to its extremely high
29 sequence variability, HCV can readily escape the immune response, thus an effective vaccine
30 must target conserved, functionally important epitopes. Using the structure of a broadly
31 neutralizing antibody in complex with a conserved linear epitope from the HCV E2 envelope
32 glycoprotein (residues 412-423; epitope I), we performed structure-based design of immunogens
33 to induce antibody responses to this epitope. This resulted in epitope-based immunogens based
34 on a cyclic defensin protein, as well as a bivalent immunogen with two copies of the epitope on
35 the E2 surface. We solved the x-ray structure of a cyclic immunogen in complex with the HCV1
36 antibody and confirmed preservation of the epitope conformation and the HCV1 interface. Mice
37 vaccinated with our designed immunogens produced robust antibody responses to epitope I, and
38 their serum could neutralize HCV. Notably, the cyclic designs induced greater epitope-specific
39 responses and neutralization than the native peptide epitope. Beyond successfully designing
40 several novel HCV immunogens, this study demonstrates the principle that neutralizing anti-
41 HCV antibodies can be induced by epitope-based, engineered vaccines and provides the basis for
42 further efforts in structure-based design of HCV vaccines.

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45

46 **IMPORTANCE**

47

48 Hepatitis C virus is a leading cause of liver disease and liver cancer, with approximately 3% of
49 the world's population infected. To combat this virus, an effective vaccine would have distinct
50 advantages over current therapeutic options, yet experimental vaccines have not been successful
51 to date, due in part to the virus's high sequence variability leading to immune escape. In this
52 study we rationally designed several vaccine immunogens based on the structure of a conserved
53 epitope that is the target of broadly neutralizing antibodies. In vivo results in mice indicated that
54 these antigens elicited epitope-specific neutralizing antibodies, with varying degrees of potency
55 and breadth. These promising results suggest that a rational design approach can be used to
56 generate an effective vaccine for this virus.

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60 **INTRODUCTION**

61 Hepatitis C virus (HCV) is a major global health concern, infecting 3% of the world's population
62 (1). The majority of those infected progress to chronic HCV infection, which often leads to
63 cirrhosis, liver failure, and hepatocellular carcinoma, a deadly liver cancer (2). Liver
64 transplantation, undertaken for those with cirrhosis and liver cancer, does not eliminate the virus
65 completely, resulting in viral rebound and infection of the transplanted liver (2). Despite
66 advances in treatment methods for HCV, including recently approved direct acting antivirals,
67 high treatment cost and a high rate of asymptomatic and untreated infections make a prophylactic
68 vaccine necessary for global control of HCV (1).

69

70 After decades of research, as well as several candidates in Phase I and Phase II clinical trials (3),
71 no approved HCV vaccine is available. This is in part due to the high diversity of the virus
72 within individuals, arising from error-prone replication and estimated to be 10-fold greater than
73 HIV, leading to generation of a number of viral quasispecies that allow it to readily escape from
74 the immune response (4). The identification of broadly neutralizing antibodies (bnAbs) that
75 target conserved and functionally important regions of the E1 and E2 glycoproteins on the viral
76 surface (5), as well as structural characterization of several bnAb-epitope complexes (6-13),
77 provide a major opportunity to design vaccines that induce robust antibody responses to one or
78 more of these epitopes (14). Such structure-based vaccine design approaches have been explored
79 for other viruses such as HIV (15, 16), influenza (17, 18), and RSV (19, 20), with promising
80 results, though in some cases neutralizing antibodies were not successfully induced in vivo,
81 possibly due to the epitope's context on the viral surface (15) or immunological variability

82 among animal models (20). In the context of HCV, one candidate for immunogen design is the
83 epitope bound by the bnAb HCV1 (21).
84
85 HCV1 was generated by immunization of humanized mice with soluble E2 (21), and targets a
86 continuous epitope on the surface of E2 (residues 412-423, referred to as epitope I), which is also
87 recognized by a variety of human (22) and murine (23, 24) mAbs. Tests of the HCV1 mAb in
88 chimpanzees showed evidence of protection from HCV infection as well as reduction in viral
89 load (25), and in humans HCV1 treatment resulted in significant delay in viral rebound, versus
90 placebo, following liver transplantation (26). Structural characterization of the HCV1 mAb in
91 complex with this epitope found that epitope I adopts a β hairpin conformation with a type I' β
92 turn (6), which was later observed in the structures of mAb AP33 (8) and two other mAbs (9)
93 bound to epitope I. Epitope I is targeted by neutralizing antibodies that block E2 binding to the
94 human CD81 receptor which is required for cell entry, and is distinguished from other CD81-
95 associated sites on E2 as it is a continuous rather than conformational epitope (27); this is
96 supported by a recent global E2 alanine scanning study that assessed binding determinants using
97 a panel of 16 E2-targeting mAbs (28). Due to this apparent decoupling and lack of dependency
98 on the remainder of E2, epitope I is of particular interest for structure-based immunogen design
99 efforts, as noted by others (14).
100
101 Given the low seroreactivity to this epitope in infected humans (< 2.5% out of 245 patient
102 samples) (29), the lack of initial reported success inducing antibodies in mice using the linear
103 epitope (21), and its observed flexibility which has been highlighted in several recent structural
104 studies (12, 30), we performed rational engineering to stably present this antibody-bound

105 structure to the immune system and improve the antibody response to this conserved epitope. We
106 also designed a novel protein using the E2 core protein structure (7), generating a bivalent E2
107 core antigen presenting two copies of epitope I on its surface. After confirming antibody binding
108 of designs, as well as atomic level structure of a cyclic design, and in vivo immunogenicity of
109 these designs, to compare their effectiveness at eliciting antibodies that bind epitope I, and tested
110 sera of immunized mice for HCV neutralization of several HCV genotypes.

111

112 MATERIALS AND METHODS

113 **Scaffold selection.** A set of 7626 potential scaffold structures was downloaded from the Protein
114 Data Bank (PDB) (31) in February, 2013. All structures were monomeric (one protein chain in
115 the biological assembly), expressed in *E. coli*, determined by x-ray crystallography, and
116 contained fewer than 200 residues. The structural alignment program FAST (32) was used to
117 identify any structurally similar region to epitope I (PDB code 4DGY, chain A) within each
118 structure. Approximately half of the structures (4200) contained regions identified by FAST as
119 structurally similar to the epitope; these results were analyzed to determine length of match, root-
120 mean-square distance (RMSD) to the epitope (both computed by FAST), and accessibility for
121 antibody binding. The latter value was determined by counting the number of atomic contacts (<
122 4.0 Å) between the HCV1 mAb and scaffold (excluding the epitope-matching residues), after
123 superposing the scaffold onto the epitope in the HCV1-epitope complex structure using FAST.

124

125 **Protein expression and purification.** The nucleic acid sequences encoding HCV E2 (genotype
126 1a isolate H77, Genbank accession number NC004102) aa 384-661 (referred to as E2₆₆₁) and its
127 designed truncated constructs (T1, T2, T3) were synthesized and cloned into a mammalian

128 expression vector, pcDNA3.1 (Life Technologies) in frame with an N-terminal histidine (His)
129 tag. Cloned vectors were transfected into HEK-293T cells using Lipofectamine 2000 (Life
130 Technologies) as described by the manufacturer. Cells were grown to confluence in T150 in 15
131 ml of DMEM with 10% of FBS. Thirty micrograms of DNA were mixed with 75 μ l of
132 Lipofectamine 2000 and the mixture was added to the cells for overnight incubation at 37 °C.
133 Medium was removed 24 hours post-transfection and replaced with fresh complete DMEM. 3
134 mM sodium butyrate (Sigma-Aldrich) was added to the media 48 hours post transfection and the
135 supernatants were harvested after additional 24-hour incubation. Filtered supernatant were mixed
136 with Ni-NTA agarose (Life Technologies) for 2 hours at room temperature and proteins were
137 eluted with 250 mM imidazole (Sigma-Aldrich). Eluted proteins were dialyzed against PBS,
138 concentrated and stored in single use aliquots at -80°C. Protein integrity and purity were
139 evaluated by Coomassie stained SDS-PAGE and Western blot using mouse anti-His antibody.

140
141 **Peptides.** Linear and cyclized peptides including the H77 epitope I sequence
142 (QNIQLINTNGSWHINSTK, CQLINTNGSWHINCK) were produced at New England Peptide
143 (Gardner, MA), conjugated to bovine serum albumin (BSA) or keyhole limpet hemocyanin
144 (KLH) carrier protein via glutaraldehyde at the C-terminal lysine side chain. For peptides
145 without backbone cyclization, N-termini were modified via acetylation to improve coupling and
146 prevent N-terminal glutamine conversion to pyroglutamic acid (in the case of linear peptide).
147 Peptides produced for immunogenicity studies were N-glycosylated at the position
148 corresponding to N417 with the N-acetylglucosamine (GlcNAc) glycan.

149

150 **ELISA.** 96-well plates were coated with antigens (peptide, E2₆₆₁, or E2 truncations), at a
151 concentration of 2 µg/ml, followed by incubation overnight at 4°C. Mouse serum or purified
152 antibody was added to the 96-well plates and incubated for 1 hour at room temperature.
153 Antibody binding was detected with anti-human alkaline phosphatase secondary antibody and
154 PNPP substrate.
155

156 **Surface plasmon resonance.** All SPR experiments were performed using a Biacore T100
157 instrument (GE Healthcare). Soluble BSA (NE Biolabs), C2 peptide-BSA, C1 peptide-BSA, and
158 the linear peptide-BSA in 10 mM sodium acetate, pH 4.0 were immobilized on a CM5 sensor
159 chip via standard amine coupling procedure at a density of 1322 RU to flow cell 1, 796 RU to
160 flow cell 2, 124 RU to flow cell 3 and 101 RU to flow cell 4, respectively. HBS-X buffer (10
161 mM HEPES, 150 mM NaCl, 0.05% Tween) was used as a running buffer. BSA coupled to the
162 sensor chip in flow cell 1 was used as the negative control surface. A concentration series of
163 HCV1 mAb (125-1.9 nM) in running buffer was injected over flow cells 1-4 for 60 s per
164 injection and allowed to dissociate for 300 s. Between binding cycles, the sensor chip surface
165 was regenerated by washing with 2 M NaCl. Kinetic parameters and affinity constants for all
166 interactions were calculated using a kinetic model for bivalent analytes (as HCV1 was in IgG
167 rather than Fab format) with the Biacore T100 evaluation software 2.0.4.
168

169 **X-ray crystallography.** HCV1 Fab was separated from the Fc by papain using standard
170 protocols, purified by size exclusion chromatography on a GE Superdex S200, concentrated to
171 10 mg/ml in 20 mM Tris, 100 mM NaCl pH 8.3 and incubated with a tenfold excess of the C1
172 peptide (CQLINTNGSWHINCK). Crystals formed after one week by vapor diffusion in 0.2 M

173 calcium acetate, 0.1 M MES pH 6.0, 20% PEG 4000 at a drop ratio of 1:1. Glycerol was added at
174 20% as a cryo-protectant and data was collected at the Stanford Synchrotron Radiation
175 Lightsource, beamline 9-2 and the Advanced Photon Source, beamline 23-ID-D. The data were
176 integrated by XDS at 2.26 Å resolution, phased using molecular replacement by Phaser, built
177 using Coot, and refined by Phenix refine and Refmac (33-36), and deposited in the PDB under
178 accession code 5KZP.

179

180 **Modeling HCV1 mAb bound to E2.** Modeling the HCV1 mAb bound to E2 at residues 412-
181 423 was performed by aligning the HCV1-epitope I complex (PDB code 4DGY) onto the E2
182 core structure (PDB code 4MWF, chain D) using root-mean-square fitting of the backbone atoms
183 of shared E2 residue N423. The FloppyTail algorithm in Rosetta (37) was then used to perform
184 minimization of HCV1-epitope I in the context of E2 core, to reduce clash between HCV1 and
185 E2 core, treating the backbone of residue 423 as a flexible hinge. 100 models were generated, of
186 which the top model was selected based on Rosetta score and paucity of mAb contacts with E2
187 core (as HCV1 targets a linear epitope, extensive contacts with E2 core are not likely, as
188 supported by a global alanine scanning study with other antibodies targeting epitope I (28)).
189 HCV1 was fit by backbone superposition of epitope I residues onto residues 629-640 of E2 core
190 to model its engagement of the transplanted epitope.

191

192 **In vivo studies.** CD-1 mice (Charles River) were injected intraperitoneally with purified protein
193 mixed with Sigma Adjuvant System (SAS) adjuvant (Sigma Aldrich) with 50 µg prime and four
194 10 µg boosts given weekly, except for a gap of two to three weeks between second and third

195 boost. Animals were sacrificed approximately 10 days after final injection, and sera were
196 collected for neutralization and binding assays.

197

198 **HCV transfection, infection and neutralization assays.** Pseudovirus was generated by using a
199 replication defective HIV backbone containing a firefly luciferase gene to direct luciferase
200 expression in target cells (pNL4-3.Luc.R-E-, obtained through the AIDS Research and
201 Reference Program, Division of AIDS, NIAID, NIH from Dr. Nathaniel Landau). The nucleic
202 acid sequences encoding HCV E1E2 glycoprotein from isolates H77 (genotype 1a), 1b-#2
203 (genotype 1b), and 4a-MJ (genotype 4a), GenBank accession numbers NC004102, GQ259488,
204 GQ379230, respectively, were cloned into pcDNA3.1 vector as described previously (21). The
205 pcDNA-E1E2 vectors were co-transfected with pNL4-3.Luc.R-E- into 293T cells using
206 Lipofectamine2000 (Life Technologies). Pseudoviral particles were harvested 48-72 h post
207 transfection, concentrated using a Centricon 70 concentrator (Millipore), aliquoted and stored
208 frozen at 80°C. Before assessing antibody neutralization, a titration of HCVpp was performed on
209 Hep3B cells to determine what volume of virus generated 50,000 cps in the infection assay. The
210 appropriate volume of HCVpp was pre-incubated with varying dilutions of mouse serum or
211 HCV1 for 1 h at room temperature before adding to Hep3B cells. After incubation for 72 h (38),
212 infection was quantified by luciferase detection (Bright-Glo reagent, Promega) and read in a
213 Victor 3 plate reader (Perkin Elmer) for light production.

214

215 **Figures.** Figures of molecular structures were generated using PyMOL (Schrodinger, LLC), and
216 plots of ELISA and neutralization data were generated using GraphPad Prism.

217

218 **RESULTS**

219 **Scaffold search and design of cyclic antigens.** As noted previously (38), epitope I is highly
220 conserved across HCV genotypes (Fig. 1A), and key binding residues for HCV1 (6) are nearly
221 fully conserved (L413: 99.8%, N415: 96.6%, G418: 99.8%, W420: 99.8% in over 600 E2
222 sequences downloaded from the LANL HCV database (39)). To identify proteins to present the
223 epitope I structure in its β hairpin conformation and type I' β turn, we searched a set of
224 approximately 7600 crystal structures of monomeric proteins downloaded from the Protein Data
225 Bank (31) using the FAST structural alignment algorithm (32) for substructures matching
226 epitope I in the HCV1 mAb-epitope I crystal structure (6). All structures including matches
227 identified by FAST (approximately half of the original structures) were screened for match
228 length, backbone RMSD to the epitope, protein size, and antibody accessibility. To avoid
229 potentially immunogenic regions in scaffolds that would reduce response to the E2 epitope, we
230 searched in particular for scaffolds of small size that would also accommodate antibody binding
231 to the grafted epitope. We found that several structures corresponding to human α -defensins,
232 which are antimicrobial peptides (approximately 30 amino acids in length) of the innate immune
233 system, were optimal in this regard (Fig. 1B).

234
235 With a human α -defensin protein identified as a potential scaffold, we searched a set of all
236 defensin structures for further candidate scaffolds in that family of proteins. This was due to
237 experimentally observed self-association of the α -defensins (despite its monomeric bioassembly
238 in the PDB), which are reported to form symmetric dimers via backbone-mediated interactions
239 between β strands of the monomers (40); based on the location of the dimerization interface (41),
240 HCV1 mAb binding would be blocked were the dimerization to take place in the context of the

241 grafted epitope. We identified another class of defensins, θ -defensins, that features a nearly
242 identical β hairpin substructure as α -defensins, yet don't appear to stably self-associate (42).
243 These were not part of the original search set as all available θ -defensin structures were
244 determined by solution NMR rather than x-ray crystallography. As with α -defensins, they
245 include three disulfide bonds, yet functional and structural characterization by NMR has shown
246 that only one of the disulfide bonds is needed for structure and antimicrobial function (43).
247 Based on the capability of these small (18 residue) cyclic peptides to form stable β hairpin
248 structures nearly identical to the HCV1-bound epitope structure (Fig. 1C), with 0.9 Å average
249 backbone RMSD among 20 NMR models, we generated two cyclic peptide designs (Fig. 1D)
250 comprising minimal scaffolded structures of this epitope.

251

252 **Design of a bivalent E2-based antigen.** While a high resolution structure of the full E2
253 glycoprotein has yet to be experimentally described, two crystallographic structures of E2 core
254 are available (7, 44); these correspond to engineered truncated variants of E2 from two
255 genotypes. These structures have a shared globular fold, stabilized by numerous disulfide bonds,
256 and epitope I, located near the N-terminus of E2, is fully or mostly absent from both structures.
257 Analysis of these structures revealed a distinctive β hairpin at the same location on their surfaces,
258 consisting of residues 625-644 (H77 isolate numbering); in one of the two E2 core structures,
259 this site is directly engaged by an antibody (44). Given its high structural similarity to epitope I
260 (0.8 Å backbone RMSD) as well as its surface accessibility, we engineered the E2 glycoprotein
261 to display epitope I at this site, resulting in a bivalent E2-based scaffold with two copies of
262 epitope I on its surface (Fig. 2). This was performed in the context of a truncated E2 construct,
263 where we removed most of hypervariable region 1 (HVR1), given its immunogenicity and

264 capacity to mutate (45), as well as C-terminal residues that were disordered in the E2 core crystal
265 structure. This protein, named Truncation 2 (T2), was tested alongside two control antigens, in
266 addition to E2₆₆₁ (Fig. 2C): Truncation 1 (T1), corresponding to wild-type H77 E2 residues 409-
267 645 (without the engineered epitope at aa 629-640), and Truncation 3 (T3) with the engineered
268 epitope I at aa 629-640 and residues 409-423 removed.

269

270 **Antibody binding of designed immunogens.** Cyclic epitope I designs were synthesized and
271 tested for binding to the HCV1 mAb using surface plasmon resonance (SPR; Fig. 3), to confirm
272 epitope integrity in the context of the cyclization. Though antibody immobilization and peptide
273 analyte was tested, this did not produce sufficient binding signals for analysis, therefore
274 immobilized peptide and HCV1 mAb analyte were used for SPR assays. Both cyclic designs
275 bound HCV1 with measurable affinities, comparable to the linear peptide (within experimental
276 uncertainty), thus cyclization maintained the capability of these immunogens to engage HCV1.

277

278 To characterize the epitope presentation of the E2 constructs, E2₆₆₁ and E2 designs were
279 engineered with a 6xHis fusion tag, expressed in HEK-293T cells and purified by nickel-affinity
280 chromatography. All three truncated E2 designs were expressed at the expected size (Fig. 4). We
281 tested antibody binding in ELISA using HCV1 as well as two other neutralizing antibodies, 95-2
282 and 96-2 (Fig. 5). Antibody 95-2 is related to HCV1 and binds epitope I (21), while 96-2 binds
283 epitope II (aa 432-443) (25); as with HCV1, both were generated using immunization of E2₆₆₁ in
284 mice engineered to express human antibodies (HuMAb, Medarex, Inc.). All antibodies were
285 engaged by truncated E2 designs T1-T3, and though there was some (< 10-fold) variability in
286 binding to HCV1, binding to 95-2 and 96-2 was more uniform. As no major loss of binding was

287 observed for any of the designed constructs, presentation of epitope I, as well as the epitope II
288 site, was not disrupted in the context of these E2-based designs.

289

290 **Structural characterization of cyclic C1 immunogen bound to HCV1.** To confirm the
291 structure of the designed C1 immunogen, we performed x-ray crystallography to determine its
292 structure in complex with HCV1 (Fig. 6, Table 1). This structure was determined at 2.26 Å
293 resolution, and deposited in the PDB under accession code 5KZP. A careful comparison of our
294 HCV1-C1 complex structure with the structure of the HCV1 Fab bound to the linear E2 peptide
295 (PDB code 4DGY (6)) reveals preserved binding mode and epitope conformation, as well as
296 densities for the engineered disulfide bond and C-terminal lysine residue (K425) in the C1
297 peptide. As predicted, we observed no difference in the interface between HCV1 and C1 versus
298 the interface between HCV1 and the linear peptide. We did observe a shift in the conformation
299 of the HCV1 CDR H3 loop in the HCV1-C1 complex crystal structure (Fig. 6G, 6H), suggesting
300 possible conformational dynamics of that loop; this may additionally be attributed to altered loop
301 context in the crystallographic lattices of these two structures. After superposition of antibody
302 variable (Fv) domains of these complexes, RMSDs between linear and C1 peptides confirm
303 conservation of the epitope structure and binding orientation: 0.37-0.48 Å for epitope backbone
304 atoms and 0.83-1.09 Å for all epitope atoms (ranges represent values from separate calculations
305 using each of the four asymmetric units of the HCV1-C1 structure), with the larger values for the
306 latter due primarily to Q412, N417, and N423 side chains which are exposed and not directly
307 engaged by the antibody.

308

309 **Serum antibody response elicited by designed antigens.** To compare the antibody response
310 elicited by engineered epitope I and E2-based antigens, we immunized mice with the two cyclic
311 epitope I peptides and three truncated E2 antigens, alongside control antigens E2₆₆₁ and linear
312 epitope I. Groups of CD1 mice (n=4) were immunized with 50 µg of the peptides or purified E2-
313 based proteins, followed by four 10 µg boosts of over approximately six weeks. Soluble E2
314 (E2₆₆₁) was included as a positive control based on its previously described immunogenicity
315 (21). Serum samples were collected one week after the fifth immunization, and sera from
316 immunized mice were tested for epitope I-specific antibody response using ELISA (Fig. 7). Mice
317 immunized with the cyclic antigens (C1, C2) showed strong ELISA reactivity against the epitope
318 I peptide, whereas immunization of the linear peptides resulted in significantly lower serum
319 response. The E2₆₆₁, T1 (truncated wild-type E2), and T2 (bivalent E2) antigens induced epitope-
320 specific responses comparable to the cyclized peptides, with some variability among mice within
321 each group. The T3 antigen, with the native epitope I site removed, induced the lowest epitope-
322 specific responses among E2-based constructs, indicating that the transplanted epitope at aa 629-
323 640 is lower in immunogenicity, or antibodies induced by that site don't cross react with the
324 native epitope.

325

326 **Viral neutralization.** To determine if the immunized sera could neutralize virus *in vitro*, HCV
327 pseudoparticle (HCVpp) neutralization assays were performed. Mouse sera were tested against
328 HCVpp with H77 E1E2 sequences (Fig. 8, Table 2). Immunization with either cyclic peptide
329 yielded measurable levels of neutralizing antibodies, with some variability within each group.
330 However, no detectable neutralizing antibodies were generated in mice immunized with linear
331 peptides, indicating that the engineered cyclic variants are superior immunogens. Mice

332 immunized with the E2-based antigens yielded higher neutralizing antibody responses than the
333 peptide antigens, likely due to the presence of additional epitopes outside of epitope I capable of
334 inducing neutralizing antibodies (46, 47), though as noted by others at least one such region,
335 corresponding to epitope II which is targeted by the 96-2 mAb, is associated with viral escape
336 (48). Among these antigens, E2₆₆₁ and T1 induced the highest levels of H77 neutralizing
337 antibodies, with T2 and T3 constructs containing the transplanted epitope at aa 629-640 yielding
338 lower neutralizing antibody responses.

339

340 To assess neutralization breadth, we tested serum neutralization using HCVpp derived from
341 genotype 1b and 4a isolates (Table 2), which were used previously to characterize HCV1 mAb
342 neutralization (21). For all constructs, neutralization activity against these was lower than for
343 H77, suggesting limited neutralization breadth overall. The T1 design maintained the
344 neutralization of the genotype 1b HCVpp with respect to E2₆₆₁, with possible modest
345 improvement. For the designed cyclic constructs, neutralization breadth was limited, suggesting
346 that despite robust epitope I-specific responses, limited sequence variability within the epitope
347 (I414V for the 1b isolate, T416S for the 4a isolate (21)), or other variations in viral sequence or
348 fitness reduced the neutralization capacity of immunized sera against these isolates.

349

350 **DISCUSSION**

351 The area of structure-based HCV vaccine design has seen limited success recently reported by
352 others. One study described structure-based design of scaffolded antigens for HCV to display an
353 E1 epitope as well as E2 epitope I (49). Though the latter constructs were tested for binding to
354 the HCV1 antibody, no in vivo results were reported. The designs resulting from the meta-server

355 methodology described in that study were larger and distinct from the cyclic designs we
356 produced and tested *in vivo*. More recently, a study was reported featuring a cyclic epitope I
357 construct with a different mode of disulfide cyclization than C1 or C2, including epitope residues
358 412-422 rather than 412-423 (C1) or 409-425 (C2), and no glycan at N417 (50). After murine
359 immunization with this antigen, several elicited mAbs were tested for epitope binding and
360 neutralization, but no measurable neutralization was observed. By employing two distinct design
361 approaches, scaffolding of the epitope to present the stabilized epitope structure to the immune
362 system (C1, C2), and antigen redesign (T1, T2, T3), we were able to provide an unprecedented
363 comparison of these widely different design strategies *in vivo* for their potential to elicit epitope-
364 specific and neutralizing antibodies to HCV, along with structural characterization of a designed
365 immunogen.

366
367 Although the bivalent E2 construct we produced (T2) yielded lower neutralizing antibody
368 responses than the monovalent control (T1) based on H77 ID50 values (Table 1), further
369 exploration of this approach may be warranted. One advantage of this bivalent antigen not
370 considered in the design strategy was the disruption via mutation of an E2 epitope associated
371 with non-neutralizing antibodies that includes residue Y632 (28). Furthermore, the absence of
372 HVR1 in T2 and other truncated constructs is an advantage over E2₆₆₁, as that region is
373 associated with high sequence variability and escape from neutralizing antibodies (45). However,
374 its reduced capacity to induce neutralizing antibodies, and no apparent improvement in levels of
375 epitope I-specific antibodies, suggests that the T2 antigen requires further design to improve
376 presentation of the transplanted epitope, and possibly its stability. One option in this regard
377 would be design to optimize the E2 core-epitope interface at aa 629-640, which was not

378 performed originally in order to preserve the full epitope sequence. Such designs could include
379 removal of the glycan at epitope position N417 via mutation of N417 or S419, in conjunction
380 with other substitutions of non-HCV1 contact residues proximal to E2 core to improve packing
381 and shape complementarity. Intriguingly, others have recently noted that for another truncated
382 variant of E2 (with HVRs 1-3 removed), high molecular weight forms of the protein improve
383 neutralizing antibody responses, thus providing an additional route to increase neutralization
384 potency and breadth of these E2-based designs (51). While we did observe epitope I-specific and
385 neutralizing antibodies elicited by our truncated designs, epitope II and other sites on E2 may
386 indeed be targeted by the neutralizing response; the extent of epitope I-specific neutralizing
387 antibodies can possibly be probed in future work through additional assays such as inhibition of
388 HCVpp neutralization using epitope I peptide.

389

390 The cyclic antigens we generated highlight the potential of minimal stabilized antigens to induce
391 neutralizing epitope-specific antibodies that improve over immunization with the linear peptide.

392 The improvement in immunogenicity does not seem to be due to improved HCV1 binding
393 affinity, as based on our assays there was no significant change, though other binding assays
394 such as isothermal titration calorimetry may help to confirm this. As at least one extended
395 epitope I structure is associated with an antibody with relatively weak neutralization (12), and
396 cyclization would avoid or disfavor such a conformation, it is possible that constraining the
397 epitope conformation could affect the quality of antibody response. However, given the
398 improvement in epitope-specific antibody titers from cyclic designs, other factors, such as
399 improved serum stability and half-life of the cyclic designs, may be responsible. Though the
400 levels of neutralizing antibodies induced by the cyclic epitopes were relatively low, compared

401 with E2₆₆₁ immunized sera, and exhibited limited neutralization breadth, a number of options are
402 available to improve neutralizing antibody response, including display of the cyclic immunogens
403 on virus-like particles or nanoparticles (52). Heterologous prime-boost vaccination, with E2 or
404 E1E2 protein as prime, followed by boost with cyclic epitope I to focus the response to that
405 portion of E2, as utilized by others for HIV vaccination (53), may also improve levels of epitope
406 I-specific neutralizing antibodies.

407

408 The limited neutralization breadth elicited by the cyclic constructs is possibly related to recent
409 observations of HCV neutralization where certain isolates exhibit broad nAb resistance, which
410 was correlated with overall viral infectivity for a large panel of HCVpp (54). In another study,
411 non-epitope E2 residues affecting co-receptor binding appeared to be responsible for resistance
412 (55), while others have noted variability in neutralization with mAbs targeting epitope I, despite
413 conserved epitope sequence (22). A deep sequencing analysis of patients undergoing HCV1
414 mAb immunotherapy revealed E1 and E2 positions outside of epitope I with relatively high
415 mutation rates, suggesting a possible direct or indirect role in evading antibody targeting of that
416 epitope (56). In light of the relatively low but detectable levels of H77 neutralization, it is
417 possible that even modest (2-4 fold) increase in resistance in the 1b and 4a HCVpp tested led to
418 lack of measurable neutralization in the case of the cyclic peptide-immunized sera, and
419 modifications in immunogen display as noted above would lead to more detectable and robust
420 neutralization breadth. HCVpp representing a larger set of isolates can be included in follow-up
421 studies of these designs to provide more support of neutralization breadth or lack thereof.

422

423 Further design of the cyclic constructs may also yield improved responses. Characterization of
424 mAbs induced by C1 or C2 vaccination, via alanine scanning mutagenesis, affinity
425 measurements, or crystallography, may yield information regarding the induced repertoire and
426 possible molecular details underlying the observed difference between epitope I-specific binding
427 and neutralization, for instance mAb binding to portions of the epitope that are inaccessible in
428 the context of E2 or the virion. Such characterization of C1 and C2-elicited mAbs, which was
429 utilized recently for another cyclic epitope I design as noted above (50), could indicate the basis
430 of neutralization in immunized sera from our constructs and inform second-generation designs of
431 one or both of those antigens. Additionally, as glycosylation or mutation at position N415 is
432 associated with escape from HCV1 (25, 56) and other mAbs (9), addition of a glycan at position
433 N415, in addition to N417, may induce antibodies capable of targeting this epitope with a
434 binding footprint that does not include N415, while maintaining binding to the conserved
435 residues L413 and W420. Given the glycan sequons of NxT and NxS, simultaneous
436 glycosylation at N415 and N417 is only possible in a synthetic peptide (such as the ones
437 described here) rather than an expressed protein antigen. Recently described structural
438 characterization of an epitope I-targeting mAb, HC33.1, that can neutralize the glycan-shifted
439 N417S variant and engages the epitope in a unique extended conformation, may lead to
440 additional scaffolded or stabilized designed antigens (30). We have shown here, using the
441 HCV1-bound epitope I conformation, that such an approach is possible and merits further
442 investigation.

443

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450

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647

648 **FIGURE LEGENDS**

649

650 **Figure 1.** Scaffold search and design of epitope I protein antigens. (A) Search of protein crystal
651 structures for epitope I scaffolds. Antibody accessibility (clash of superposed epitope-bound
652 HCV1 antibody structure with scaffold) versus protein size is shown for each candidate scaffold
653 (scaffolds with higher antibody clash not shown in figure), with three α -defensin structures (PDB
654 codes 1ZMM, 1ZMP, 1ZMQ) shown as red triangles. (B) Alignment of HCV1 bound E2 epitope
655 I (PDB code 4DGY; green) to an NMR conformer of cyclic θ -defensin (PDB code 2M2S; cyan),
656 which was engineered to remove two out of three internal disulfide bonds; HCV1 mAb (tan and
657 light blue surface for heavy and light chains, respectively) is shown for reference. Backbone
658 root-mean-square distance (RMSD) between epitope I and matching BTD-2 residues is 0.9 Å.
659 Cyclic residues and those corresponding to key epitope positions L413, G418, and W420 are
660 shown as sticks, with the epitope positions labeled. BTD-2 backbone cyclization is colored red,
661 and disulfide bond is colored yellow. (B) Sequence of BTD-2, and tested linear and cyclic
662 peptides, with cyclized residues colored as in (A), BTD-2 cysteines that were mutated in the
663 NMR structure to remove disulfide bonds colored gray, and positions L413, G418 and W420

664 colored green. Linear and C2 constructs contain E2 residues 409-425, while C1 contains E2
665 residues 412-423; all tested peptides include a C-terminal lysine for carrier protein conjugation.

666 **Figure 2.** Design of an E2-based epitope I bivalent immunogen. (A) Structure of E2 core (PDB
667 code 4MWF; magenta), aligned to HCV1-bound epitope I (PDB code 4DGY; green) using
668 residues 629-640. HCV1 mAb (tan and light blue surface for heavy and light chains,
669 respectively) is shown for reference. Epitope residues L413, G418 and W420, as well as
670 corresponding E2 core residues R630, G635, and E637, are shown as sticks, and epitope
671 positions are labeled. Backbone root-mean-square distance between epitope I and matching E2
672 core residues (aa 629-640) is 0.8 Å. (B) Model of HCV1 mAb bound to the native epitope I site
673 on E2 (aa 412-423) as well as engineered epitope I site at aa 629-640, with both sites colored
674 green, and E2 core and mAb colored as in (A). (C) Tested E2 constructs E2₆₆₁, T1 (truncated
675 native), T2 (truncated bivalent), and T3 (truncated engineered site only), with E2 epitope I
676 sequence locations represented as green boxes.

677 **Figure 3.** Binding of linear and cyclic peptides to the HCV1 mAb, measured by surface plasmon
678 resonance. Peptides were coupled to bovine serum albumin and immobilized on the chip surface,
679 and binding was measured using a two-fold dilution series of HCV1 mAb from 125-1.9 nM.
680 Fitting of kinetic binding data (black lines) was performed using a bivalent analyte model, and
681 calculated K_{D1} values, representing the initial 2:1 interaction affinities, are shown. Standard
682 deviations were calculated from four independent experiments.

683 **Figure 4.** SDS-PAGE gel of purified T1, T2, T3, and E2₆₆₁ proteins. All proteins were treated
684 with PNGase to remove glycans, which is the upper band (~36 kDa) in each lane (right-most

685 lane contains PNGase only). Reference sizes are shown on the left. Measured E2₆₆₁ and truncated
686 E2 sizes range from 26-31 kDa, corresponding to expected protein sizes.

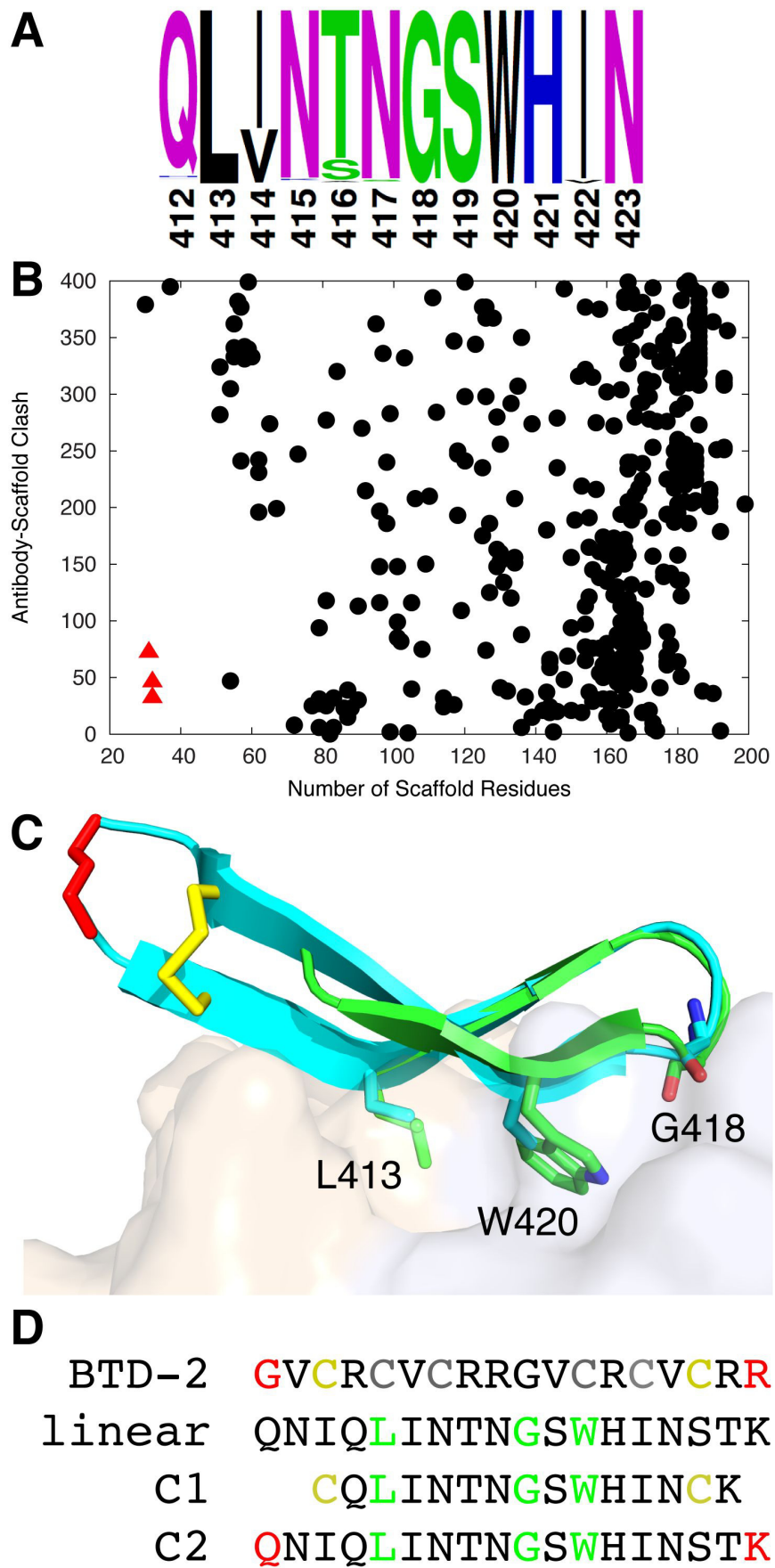
687 **Figure 5.** Binding of E2₆₆₁ and designed E2 proteins to neutralizing mAbs HCV1, 95-2, and 96-2
688 measured by ELISA. Error bars denote standard deviation from two independent experiments
689 (performed for E2₆₆₁ only), and half-maximal binding titer (EC₅₀) was calculated for each
690 interaction by curve fitting in GraphPad Prism software.

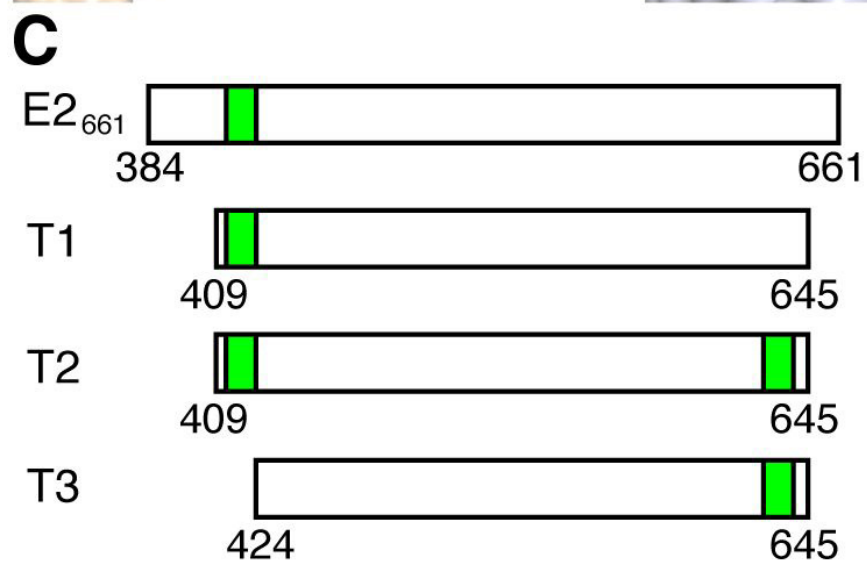
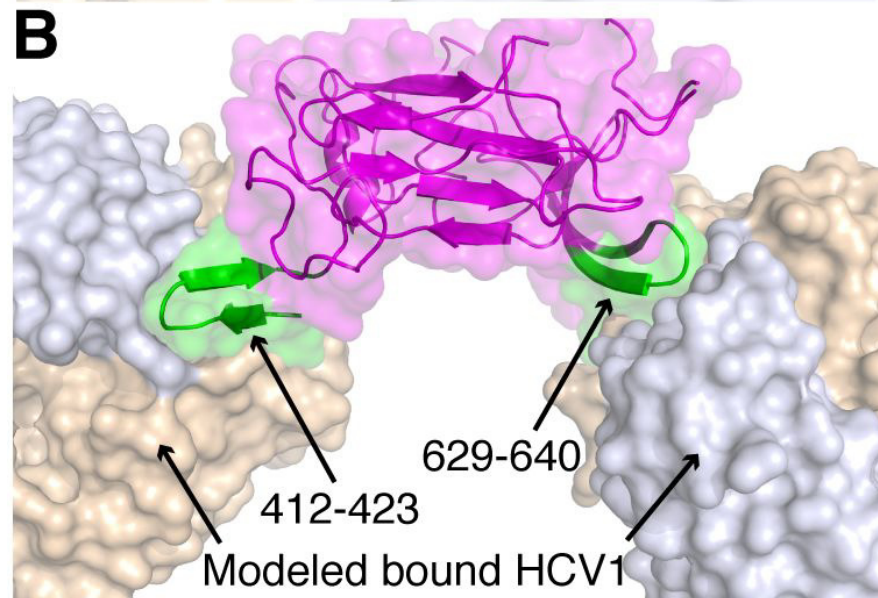
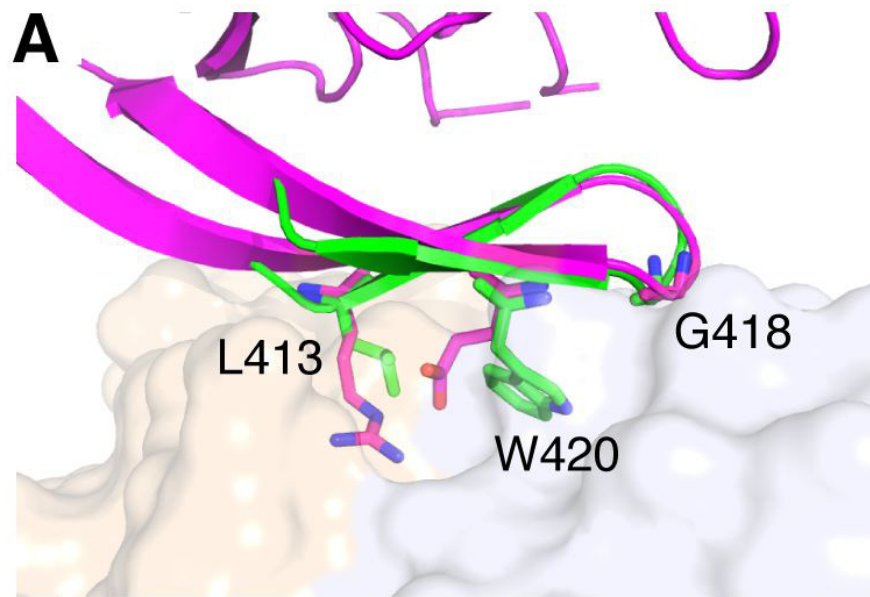
691 **Figure 6.** Structure of designed C1 immunogen bound to the HCV1 antibody. (A) The
692 crystallographic structure of full HCV1-C1 complex (A), as well as details of the bound C1
693 peptide in side view (C), top view (E), and the HCV1 CDR loops (G), are shown in comparison
694 with the structure of the native linear epitope bound to HCV1 (B, D, F, H) which was previously
695 described (6) (PDB code 4DGY). Peptides are shown as green sticks (with oxygen atoms in red,
696 nitrogen atoms in blue, and sulfur atoms in yellow) and HCV1 antibody is shown as cartoon with
697 light chain colored light blue and heavy chain colored tan. Key epitope residues for HCV1
698 binding and β turn structure (L413, G418, W420), as well as terminal and cyclization residues,
699 are labeled in (C) and (D), and selected HCV1 CDR loops are labeled in (G) and (H). In top view
700 of epitope (E, F), side chains are omitted and intra-peptide hydrogen bonds are shown as black
701 dashed lines.

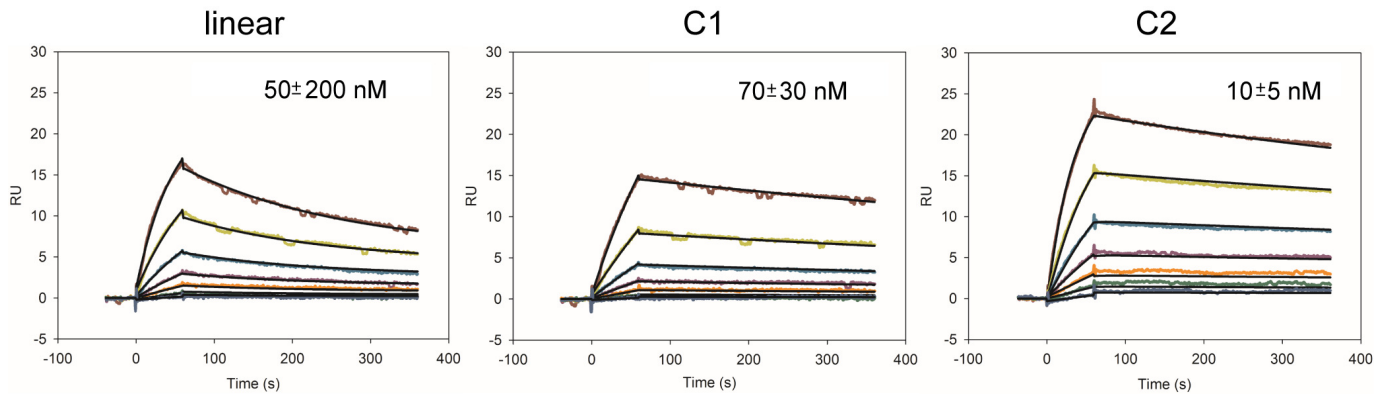
702 **Figure 7.** Binding of immunized mouse sera to epitope I. Four mice per group were immunized
703 with E2-based or peptide construct, and sera were tested using ELISA for binding to a linear
704 epitope I peptide (aa 409-425, H77 sequence) conjugated to BSA, using a coating concentration
705 of 2 μ g/ml. P-values were calculated using a two-tailed t-test (***, $p \leq 0.001$; ns, not significant,
706 $p > 0.05$).

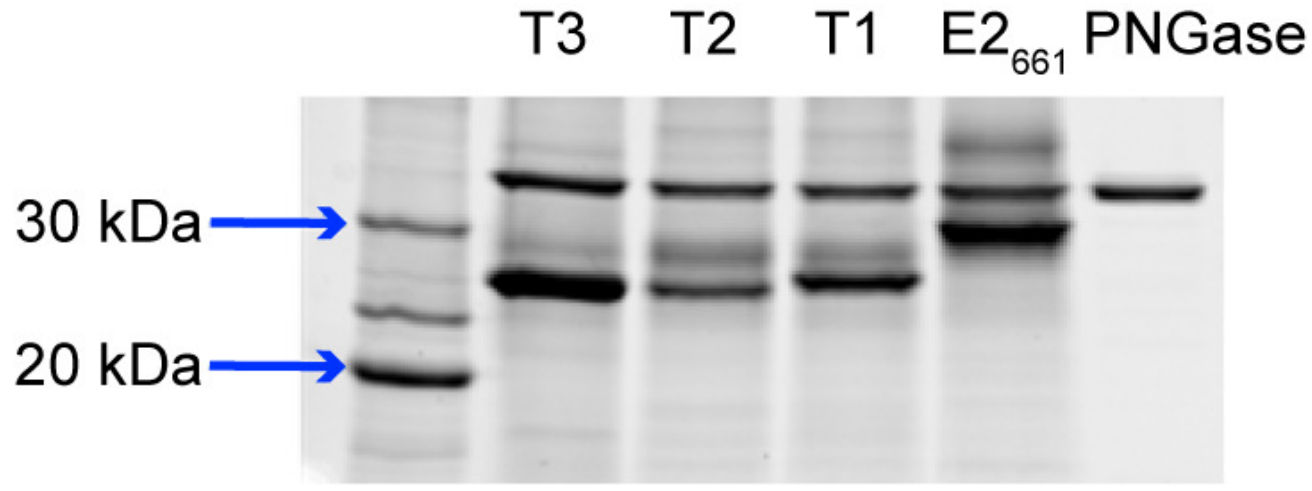
707 **Figure 8.** HCV neutralization of immunized mouse sera. Four mice per group were immunized
708 with E2-based or peptide construct, and sera were tested using the HCVpp assay for genotype 1a
709 neutralization. The neutralization activity at 1:64 serum dilution was normalized with the activity
710 of pre-immune serum and expressed as percentage of neutralization for each animal. Lines
711 denote mean values for each group. P-values were calculated using a two-tailed t-test (*, $p \leq$
712 0.05; **, $p \leq 0.01$; ns, not significant, $p > 0.05$).

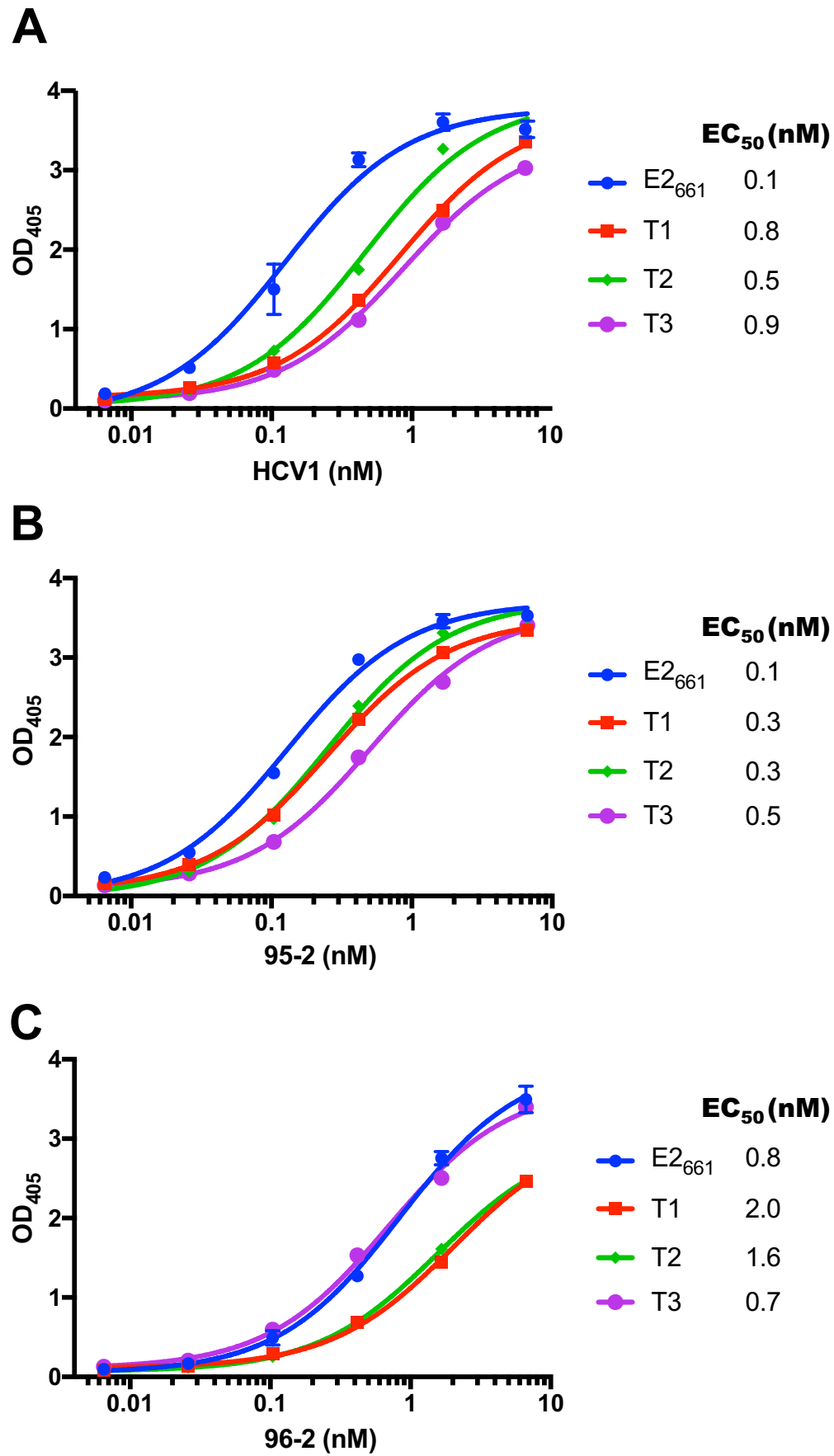
713
714
715
716

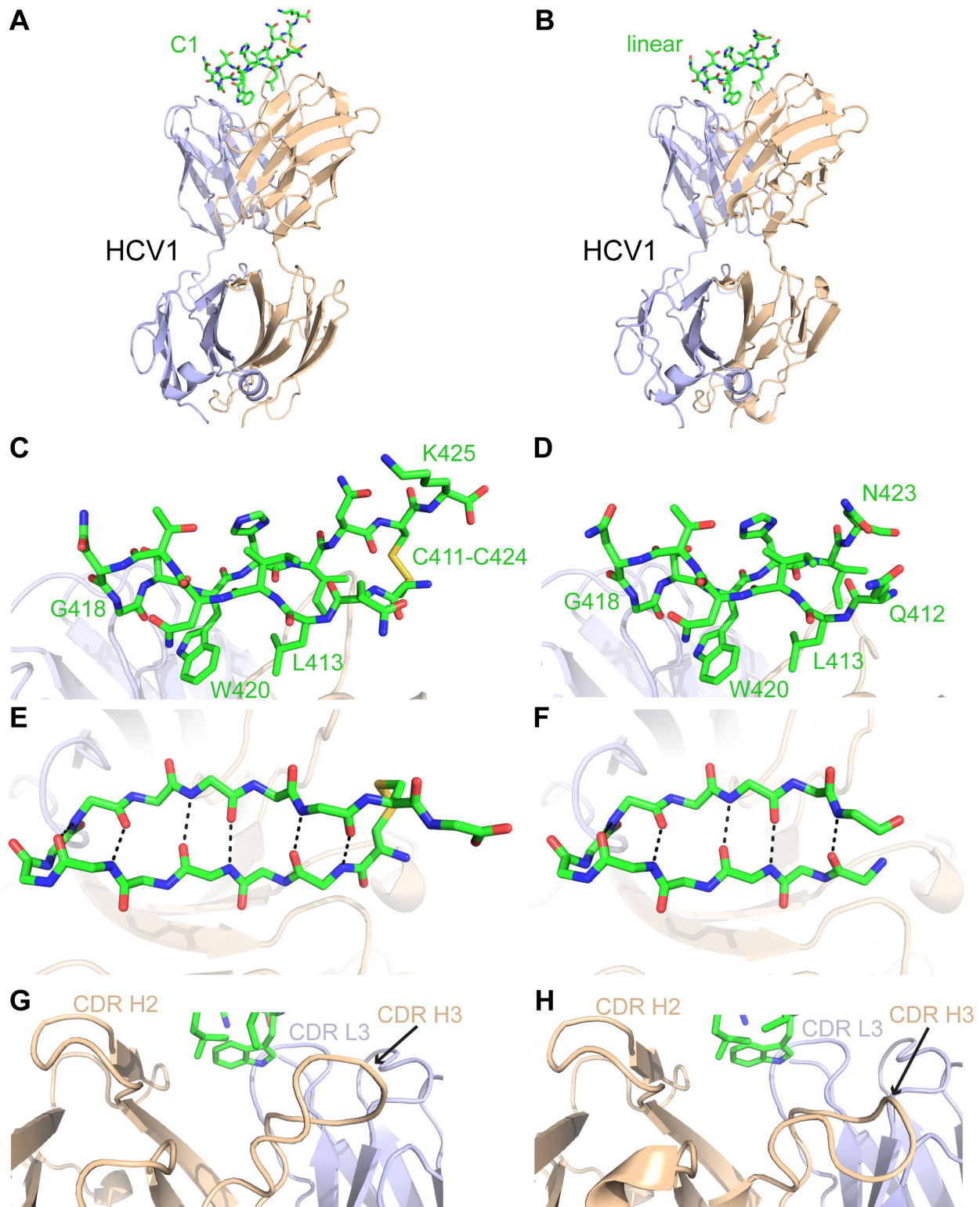


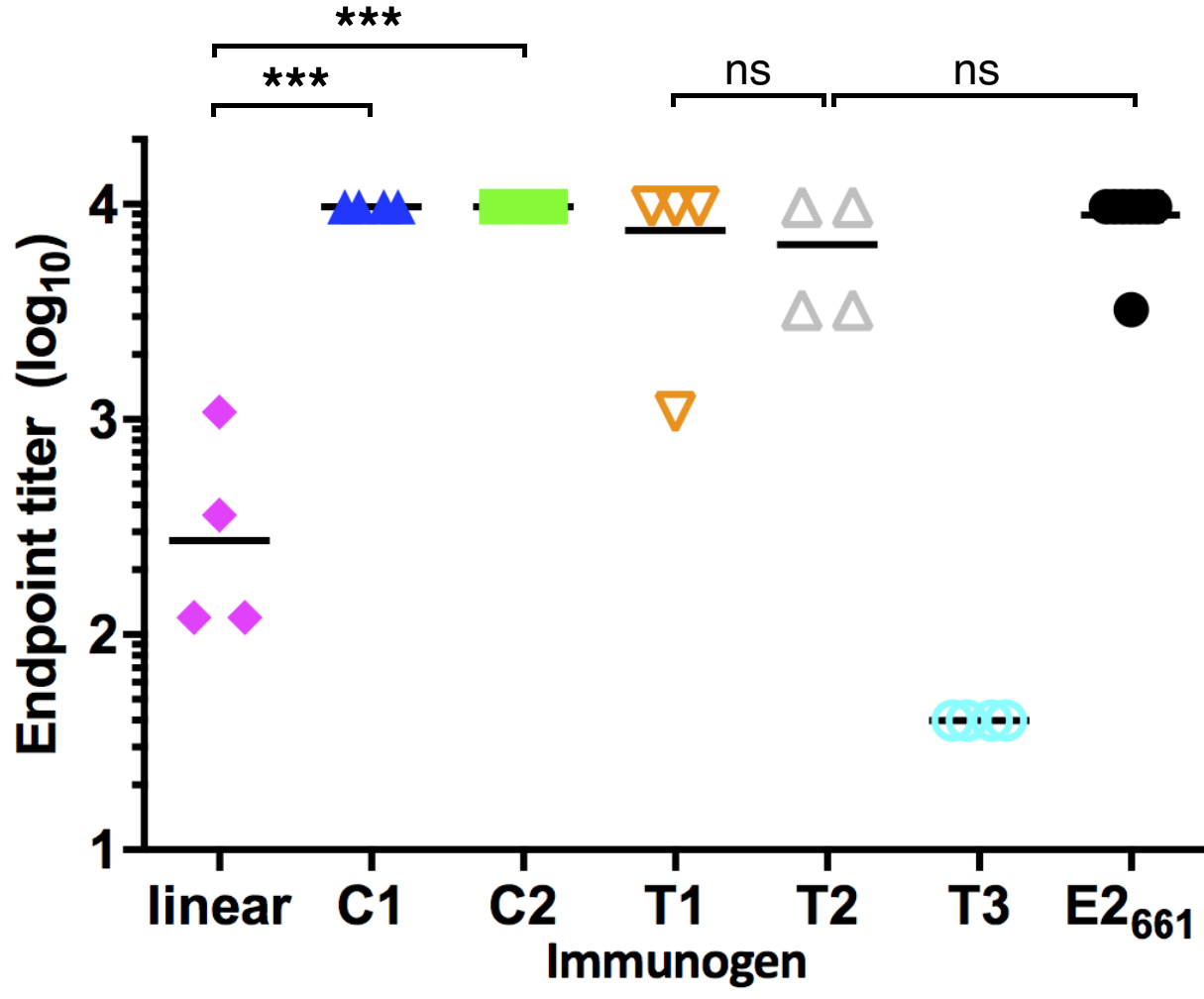












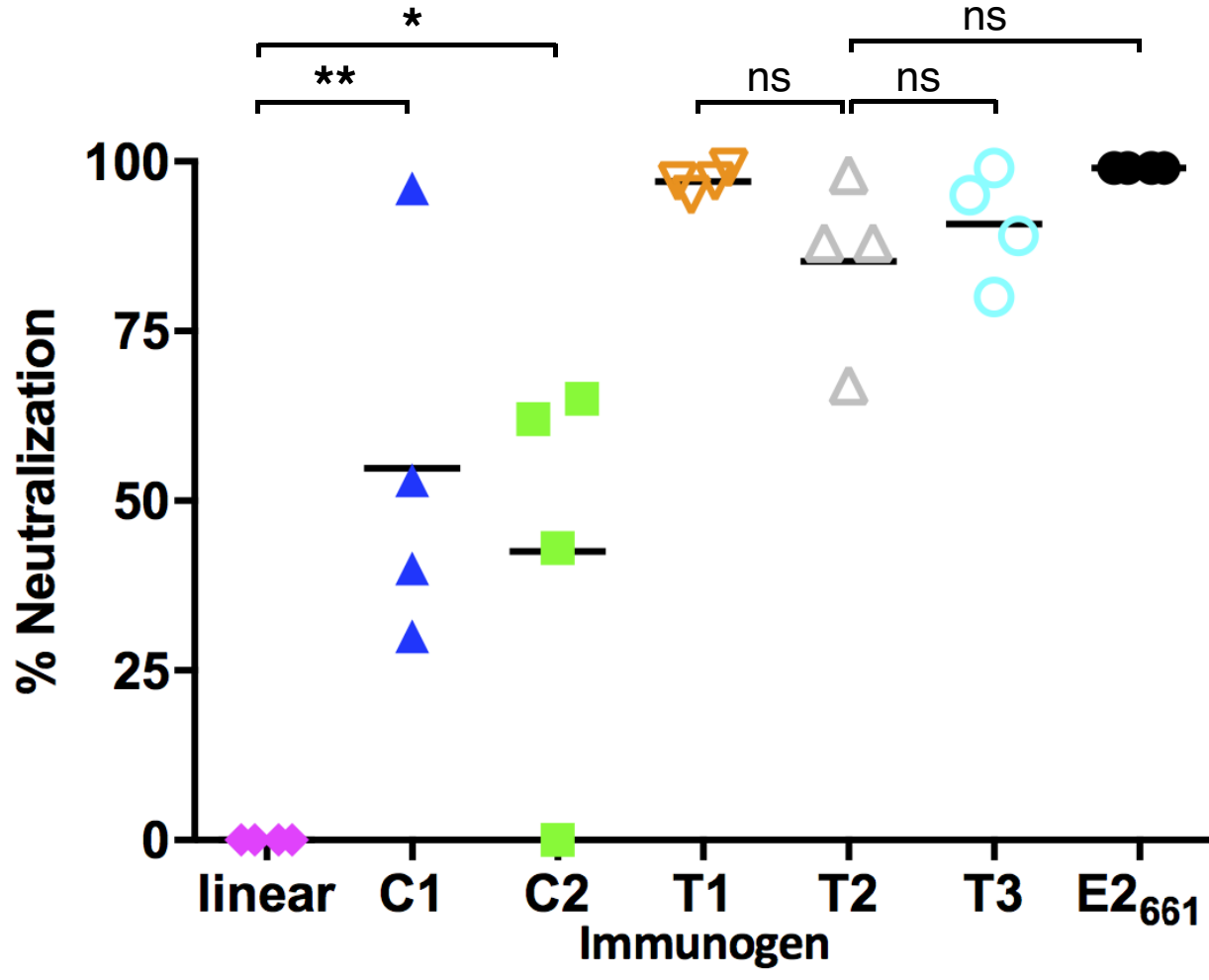


Table 1. X-ray data collection and structure refinement statistics.

| | HCV1-C1 |
|--------------------------------------|------------------------|
| Data collection | |
| Resolution (Å) | 29.49–2.26 (2.29–2.26) |
| Space group | P1 |
| Unit cell | |
| a, b, c (Å) | 47.07, 94.54, 126.76 |
| α , β , γ (°) | 91.84, 94.95, 97.91 |
| Reflections | 186,455 |
| Unique reflections | 96,679 (8,406) |
| Completeness (%) | 92.68 (61.00) |
| R_{merge}^b | 0.069 |
| Refinement | |
| R_{work} (%) ^{a,c} | 21.81 (30.99) |
| R_{free} (%) ^{a,c} | 26.09 (34.27) |
| No. of protein atoms | 14030 |
| No. of water molecules | 548 |
| RMSDs from ideality | |
| Bond length (Å) | 0.012 |
| Bond angles (°) | 1.59 |
| Ramachandran plot statistics (%) | |
| Favored | 96.1 |
| Allowed | 3.6 |
| Forbidden | 0.3 |
| PDB Accession Code | 5KZP |

^aValues in parentheses are statistics for the highest resolution shell.

^b $R_{\text{merge}} = \frac{\sum_h \sum_i |I_{hi} - \langle I_h \rangle|}{\sum_h \sum_i I_{hi}}$ where I_{hi} is the i th observation of the reflection h , while $\langle I_h \rangle$ is its mean intensity.

^c $R_{\text{work}} = \frac{\sum ||F_o| - |F_c||}{\sum |F_o|}$, where F_c is the calculated structure factor. R_{free} is as for R_{work} but calculated for a randomly selected 5% of reflections not included in the refinement.

Table 2. Serum neutralization of immunized mice.

| Immunogen | Mouse # | % Neutralization | | | H77 ID ₅₀ |
|-------------------|---------|------------------|----|----|----------------------|
| | | H77 (1a) | 1b | 4a | |
| linear | 1 | 0 | - | - | < 64 |
| | 2 | 0 | - | - | < 64 |
| | 3 | 0 | - | - | < 64 |
| | 4 | 0 | - | - | < 64 |
| C1 | 1 | 96 | 0 | 0 | 720 |
| | 2 | 40 | 23 | 0 | < 64 |
| | 3 | 30 | 0 | 0 | < 64 |
| | 4 | 53 | 0 | 0 | < 64 |
| C2 | 1 | 62 | 20 | 4 | 70 |
| | 2 | 0 | 0 | 0 | < 64 |
| | 3 | 43 | 23 | 0 | < 64 |
| | 4 | 65 | 37 | 0 | 110 |
| T1 | 1 | 97 | 64 | 0 | > 5000 |
| | 2 | 99 | 92 | 18 | > 5000 |
| | 3 | 97 | 0 | 0 | 890 |
| | 4 | 95 | 91 | 20 | 870 |
| T2 | 1 | 88 | 0 | 18 | 100 |
| | 2 | 88 | 15 | 24 | 190 |
| | 3 | 98 | 87 | 0 | > 5000 |
| | 4 | 67 | 0 | 8 | < 64 |
| T3 | 1 | 95 | 33 | 16 | 425 |
| | 2 | 99 | 27 | 19 | > 5000 |
| | 3 | 80 | 28 | 15 | 75 |
| | 4 | 89 | 23 | 45 | 400 |
| E2 ₆₆₁ | 1 | 99 | 10 | 0 | > 5000 |
| | 2 | 99 | 10 | 23 | 3470 |
| | 3 | 99 | 70 | 0 | > 5000 |
| | 4 | 99 | 10 | 28 | > 5000 |

Percent neutralization was measured using HCV pseudoparticle (HCVpp) assays and is shown for 1:64 serum dilution, with HCVpp representing genotypes 1a (isolate H77), 1b (isolate 1b-#2), and 4a (isolate 4a-MJ). Dilution levels corresponding to 50% neutralization (ID₅₀) were calculated for H77 by curve fitting in GraphPad Prism software. Highlighted cells denote > 50% neutralization at 1:64 dilution, or H77 ID₅₀ ≥ 100. Due to low H77 neutralization, genotype 1b and 4a HCVpp neutralization of linear peptide-immunized mice was not tested.